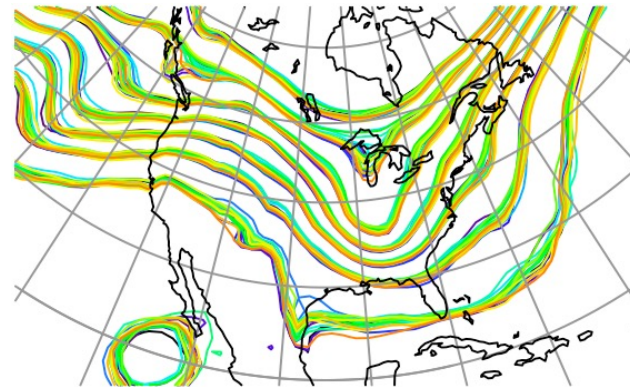


Data
Assimilation
Research
Testbed



Land Data Assimilation using DART : Carbon cycling across the Western US

Brett Raczka, NCAR, Data Assimilation Research Section (DAReS)



© UCAR 2021

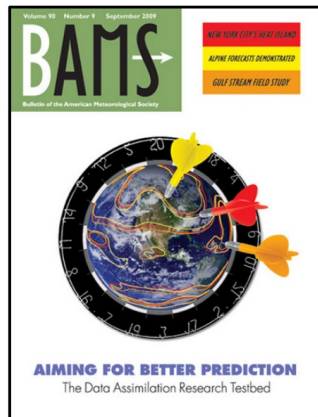


The National Center for Atmospheric Research is sponsored by the National Science Foundation. Any opinions, findings and conclusions or recommendations expressed in this publication are those of the author(s) and do not necessarily reflect the views of the National Science Foundation.

NCAR | National Center for
UCAR | Atmospheric Research

Example of DART workflow

Anderson et al., 2009



JAMES | Journal of Advances in Modeling Earth Systems*

Research Article | Open Access | CC BY-NC-ND

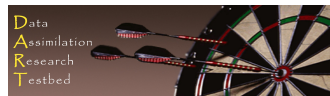
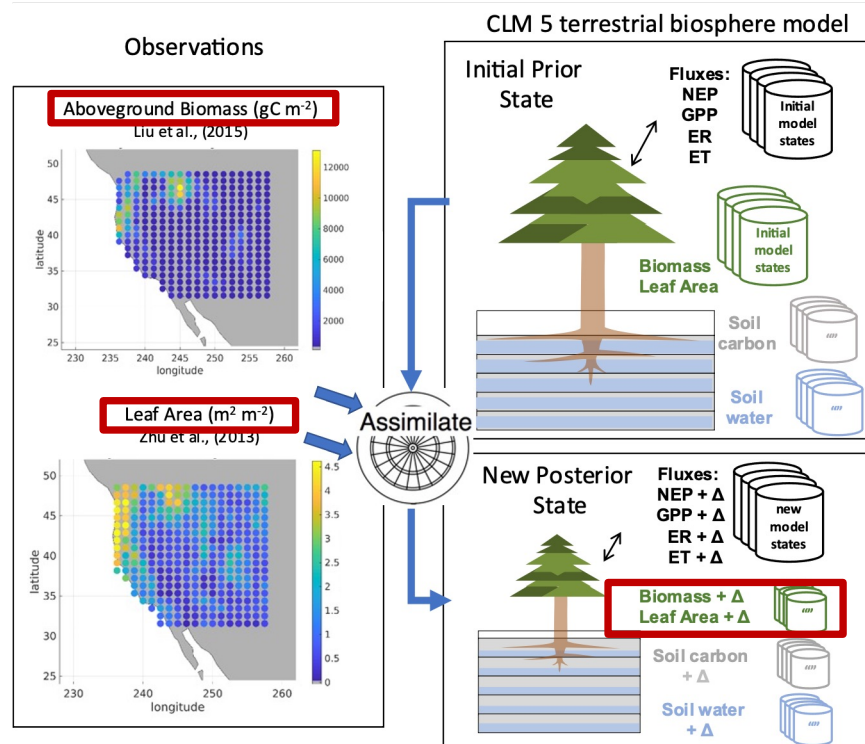
Improving CLM5.0 Biomass and Carbon Exchange Across the Western United States Using a Data Assimilation System

Brett Raczka, Timothy J. Hoar, Henrique F. Duarte, Andrew M. Fox, Jeffrey L. Anderson, David R. Bowling, John C. Lin,

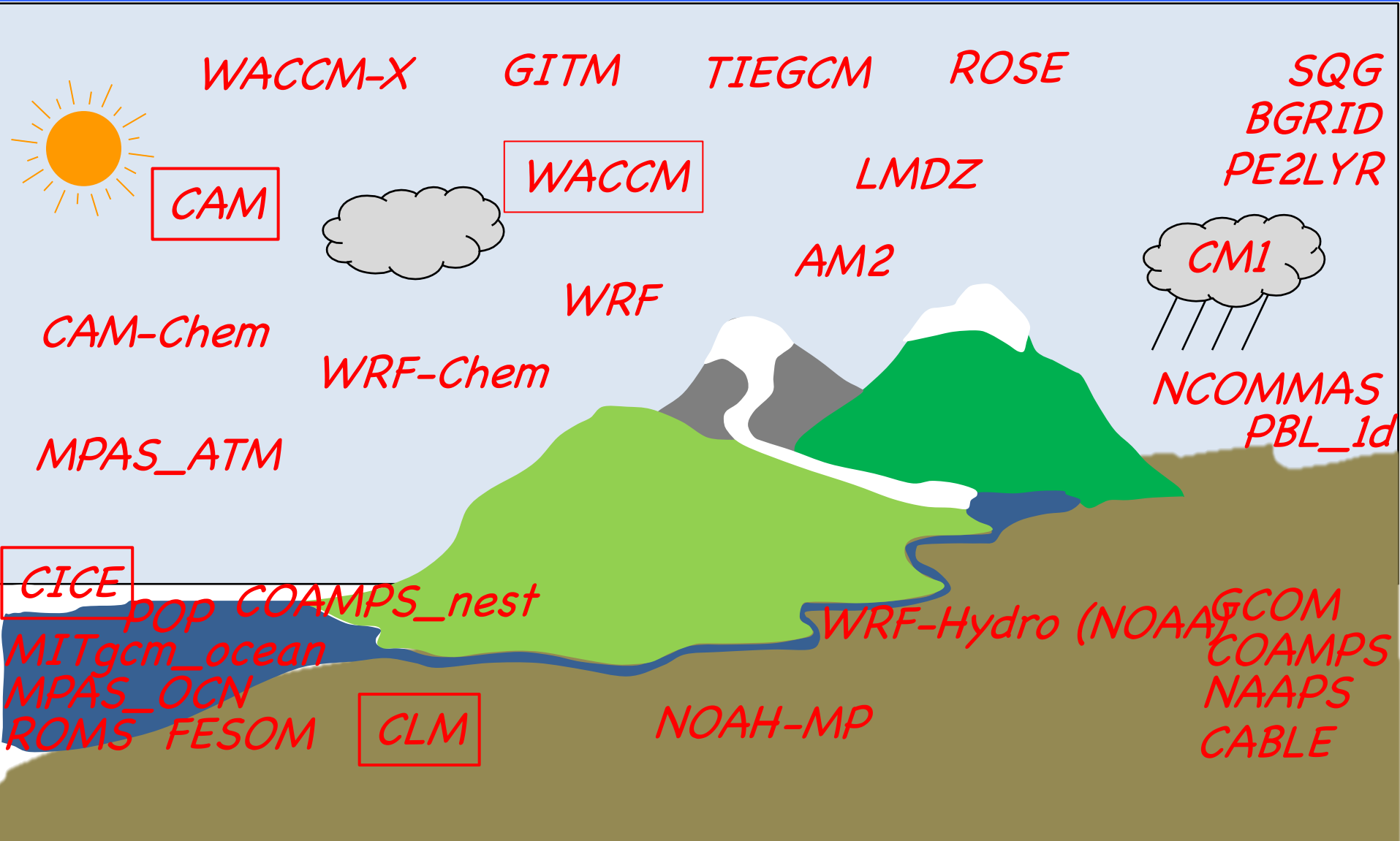
First published: 19 June 2021 | <https://doi.org/10.1029/2020MS002421>

CAM4 DART Reanalysis
(80 member ensemble)

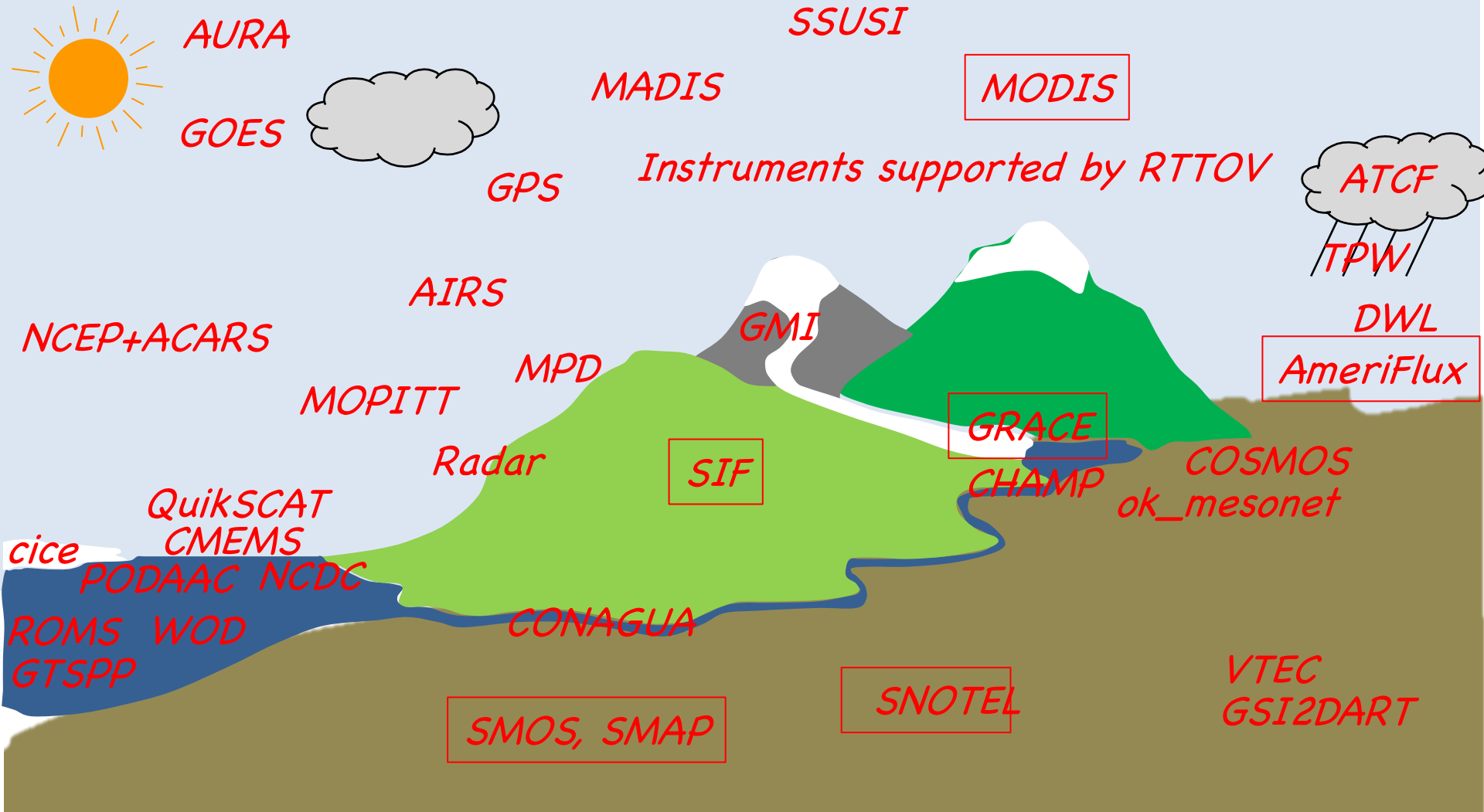
Research Data Archive
Computational & Information Systems Lab
Ds199.1 | DOI: 10.5065/38ED-RZ08



Geophysical Models Interfaced to DART



Earth System Observations (others available)



Field Campaign and Satellite Data: Pollution Emission Estimation

- Assimilate atmospheric CO into CAM-Chem

Aircraft measurements from KORUS-AQ field study in Korea 2016

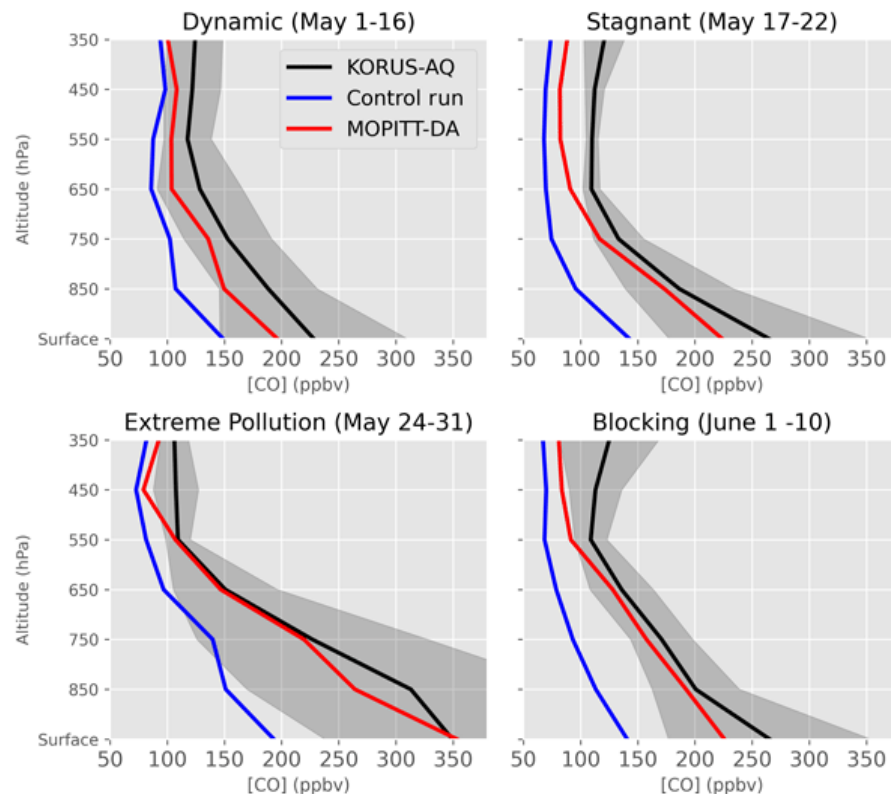
Satellite retrievals of CO from Terra/MOPITT
Chemistry modeling with CAM-Chem DART

Ensemble Kalman Filter with:

- Optimized CO initial conditions
- Optimized CO emissions

Inversion of MOPITT data updated emissions estimates, improved model performance

- Against the KORUS-AQ aircraft observations of CO (shown) and O₃, OH, HO₂
- Suggests underestimates of CO/VOCs in China



Lead; Benjamin Gaubert

DA improves fit to NASA DC-8 aircraft CO measurements for all synoptic conditions:
DA closer to obs than **no DA**.

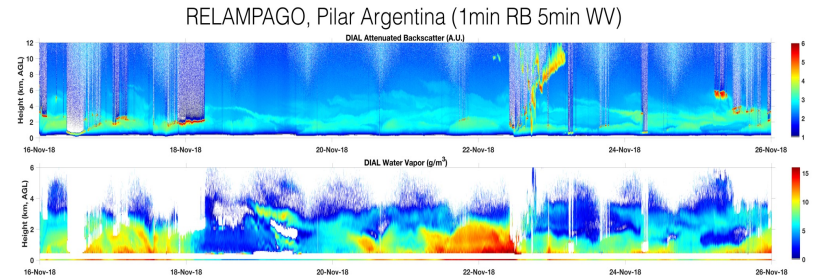


MPD Water Vapor Profile DA for Convective Weather Forecasts

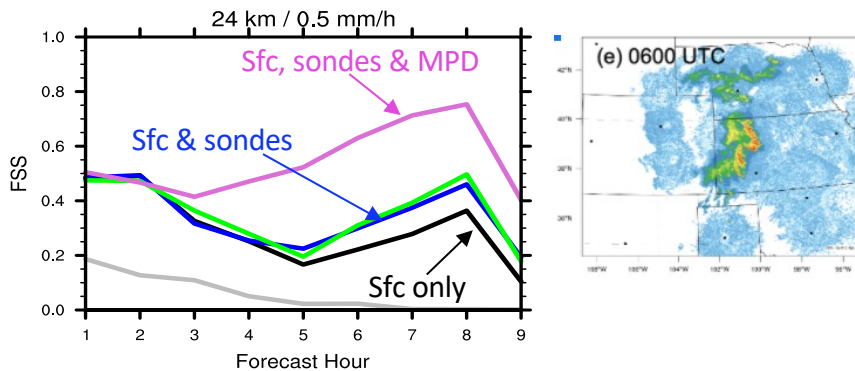
- Assimilate MPD Water Vapor into WRF



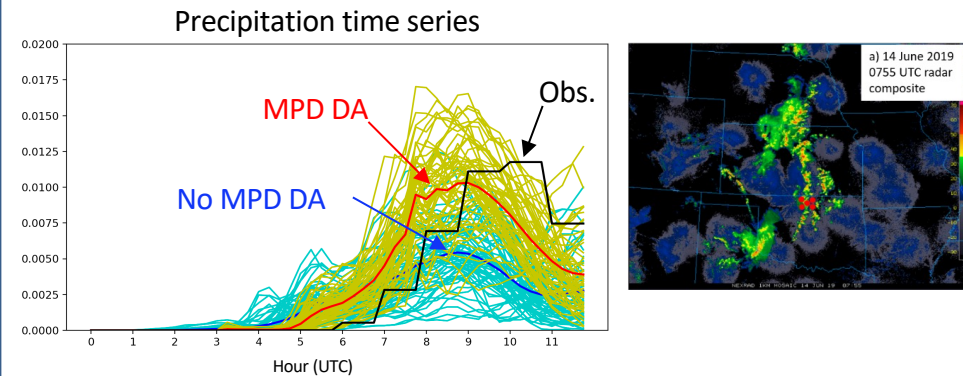
MicroPulse Differential absorption lidar (MPD) developed by Montana State University and EOL measures continuous relative backscatter and water vapor profiles.



Observing System Simulation Experiment (OSSE)



Observing System Experiment (OSE)



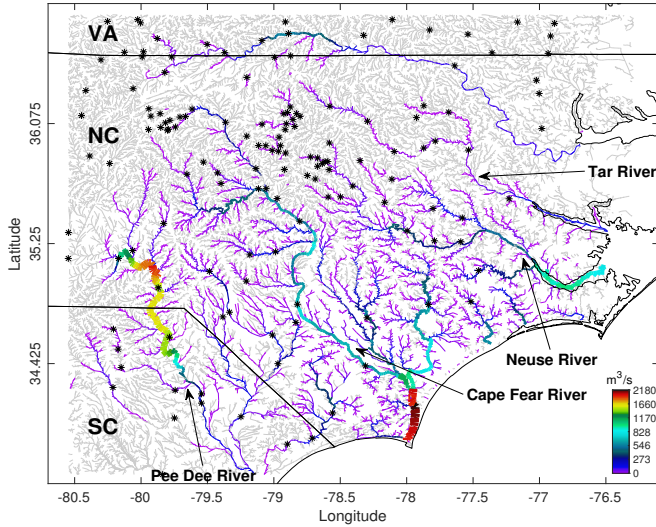
Lead: Tammy Weckwerth

WRF/DART DA of MPD improves short-term forecasts of convection initiation and evolution compared to assimilating conventional observations (in the OSSE) and no DA (in the OSE).



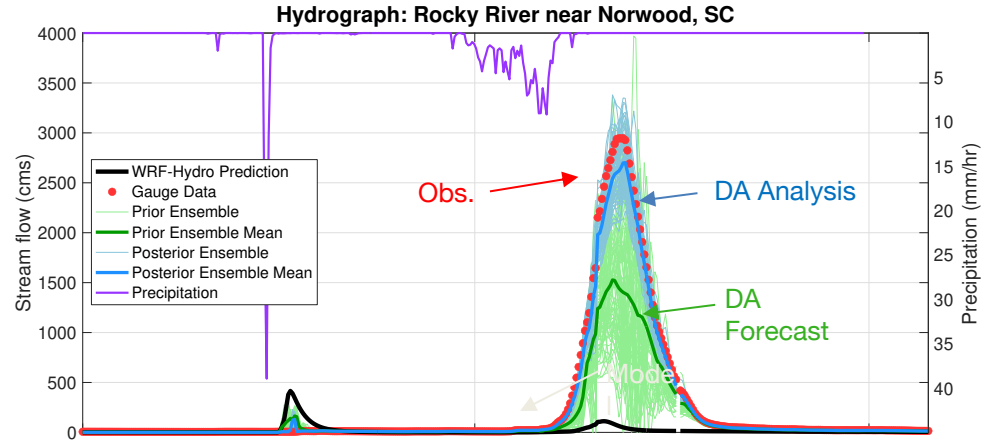
Flood Prediction: WRF-Hydro/DART for Hurricane Florence 2018

High-resolution stream network with USGS streamflow gauges.



Lead; Moha el Gharamti

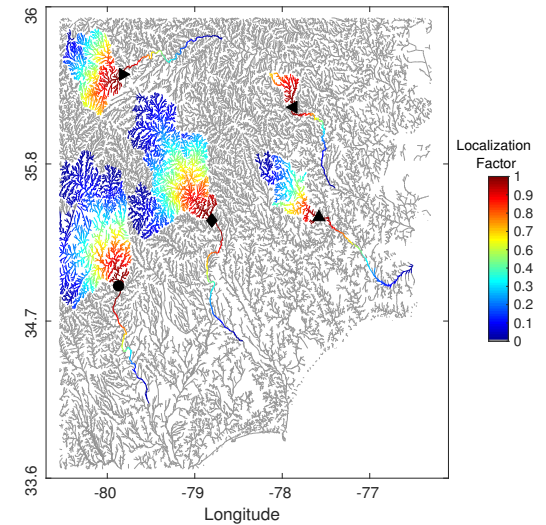
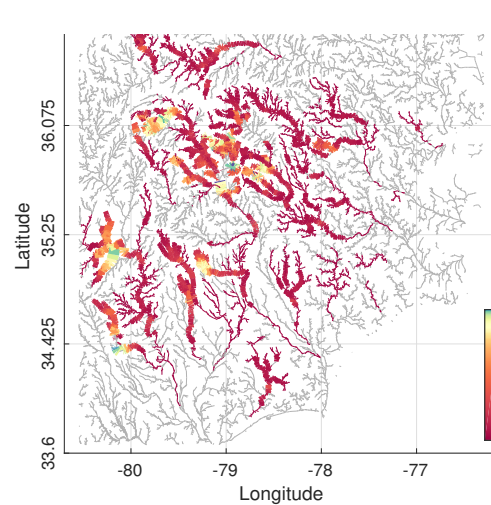
DA greatly improves analysis and forecasts of streamflow.



Novel Data Assimilation Science

1. Prior and Posterior Adaptive Covariance Inflation

2. Along-The-Stream (topology-based) Localization

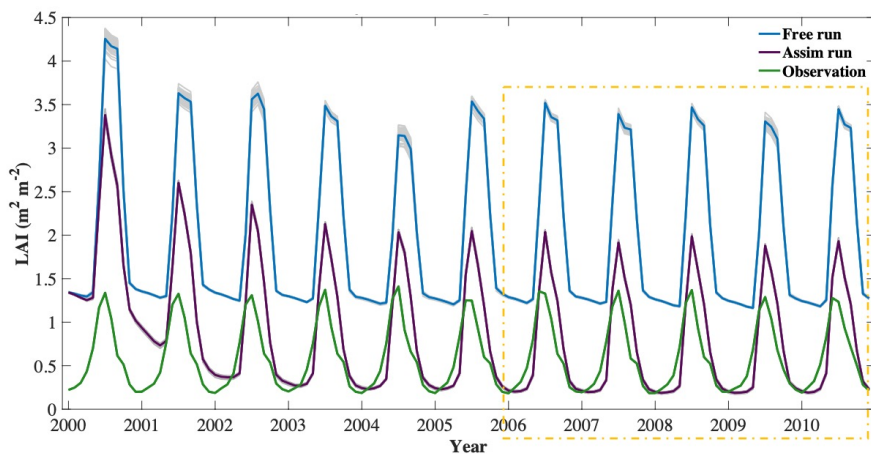


Current Land Data Assimilation (CLM-DART)

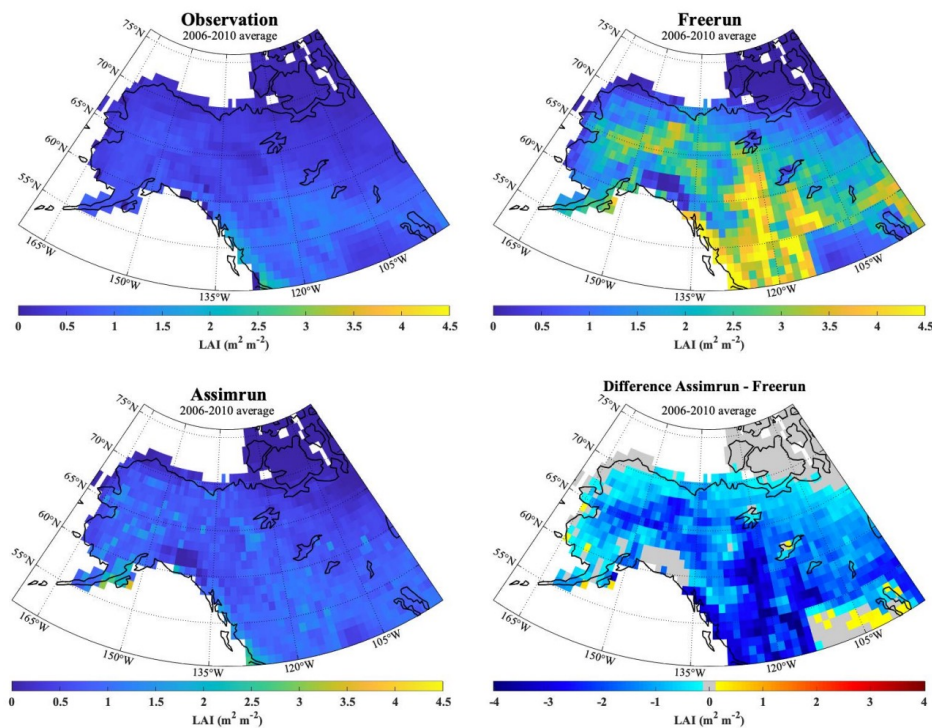
Assimilating Leaf Area Observations within Arctic Boreal Domain (ABoVE Project)

Led by: Xueli Huo, Andy Fox and others

Monthly Arctic Leaf Area



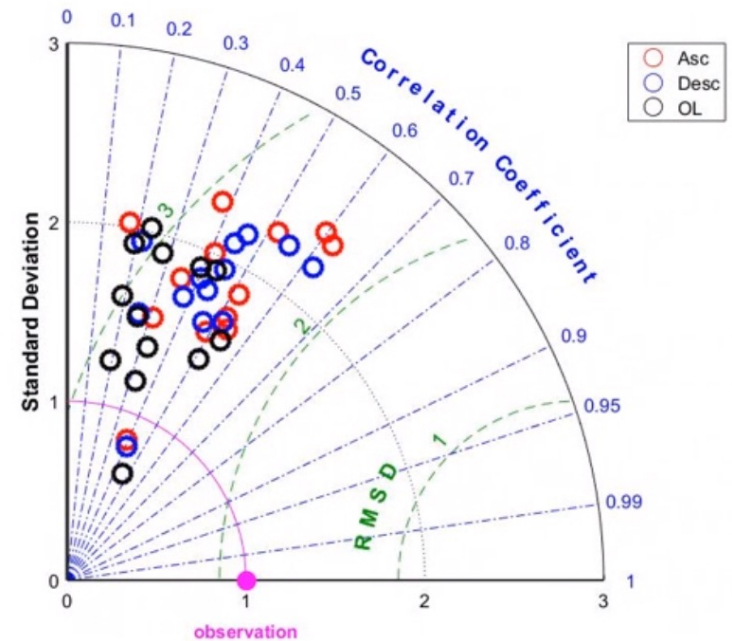
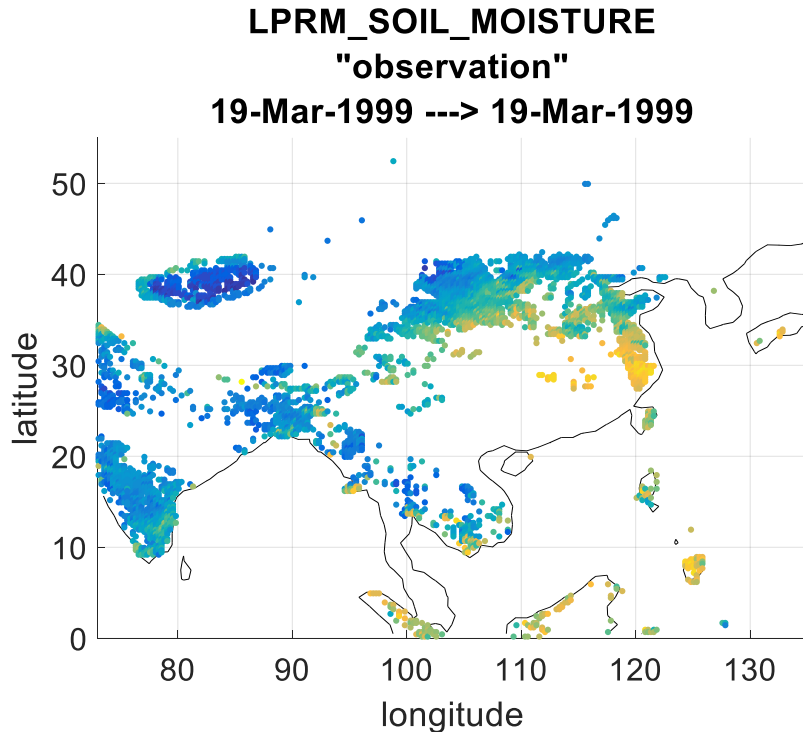
The mean annual LAI in the assimilation run decreased by **63.7%** compared with the free run.



Current Land Data Assimilation (CLM-DART)

Assimilating Surface Soil Moisture Observations (Passive/Active Microwave Bands)

Led by: Daniel Hagan



Basics of EnKF Data Assimilation

- Observations combined with a model forecast to produce an improved forecast ('analysis').
- Improving model state (e.g. temperature, biomass, soil carbon) not parameter optimization

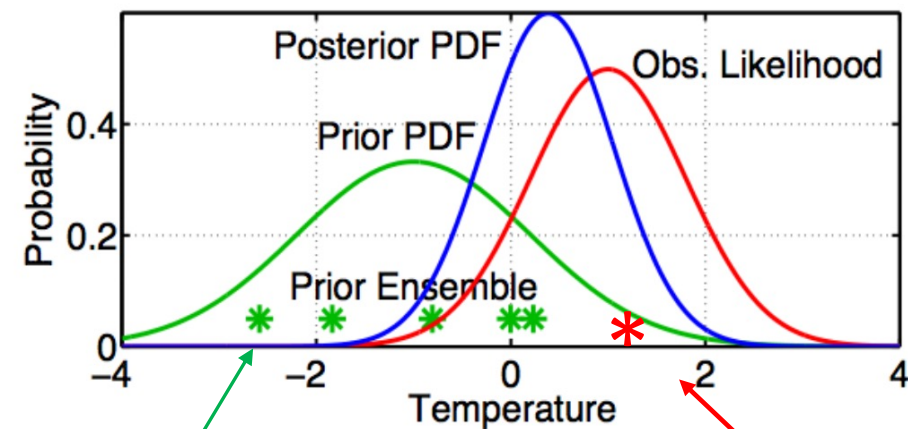
Bayes Theorem

$$\text{Posterior} \sim \text{Prior} \cdot \text{Observation Likelihood}$$

'Update' or 'analysis'

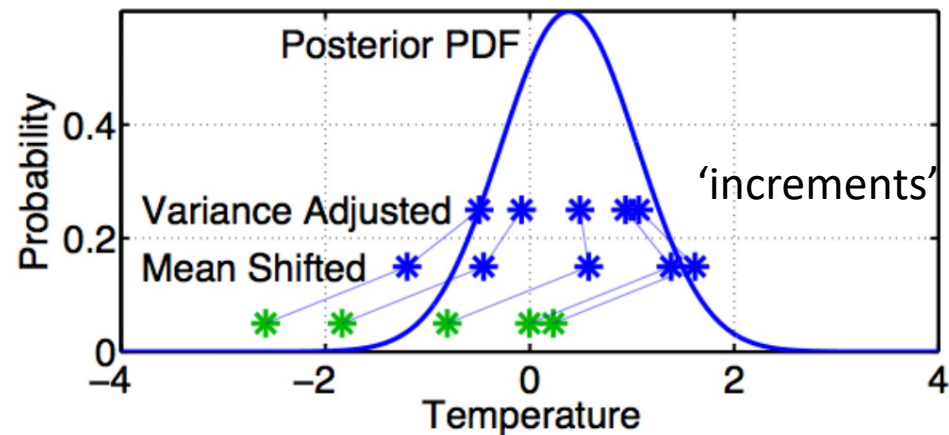
Model generated

Biosphere measurements

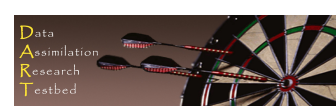


5 prior model estimates of temperature

1 new observation of temperature



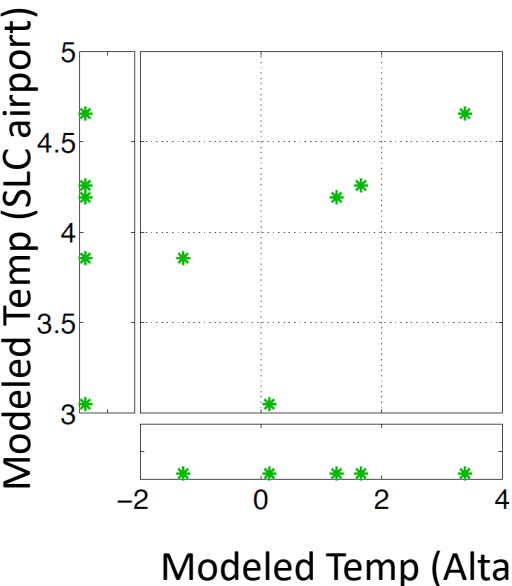
This is an 'observed' state variable, but what about 'unobserved' state variables?



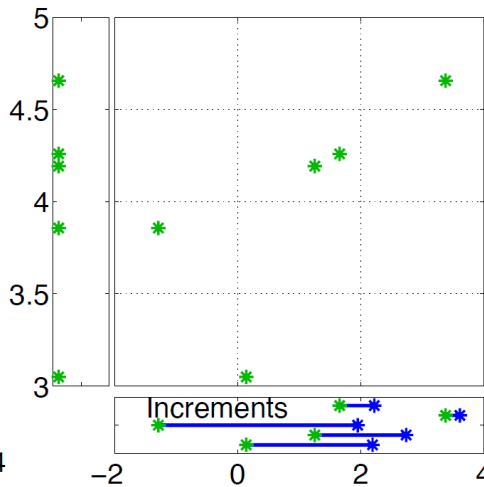
Basics of EnKF Data Assimilation

- Imagine you were modeling temperature across Salt Lake City but only had temperature observations at Alta Ski Resort

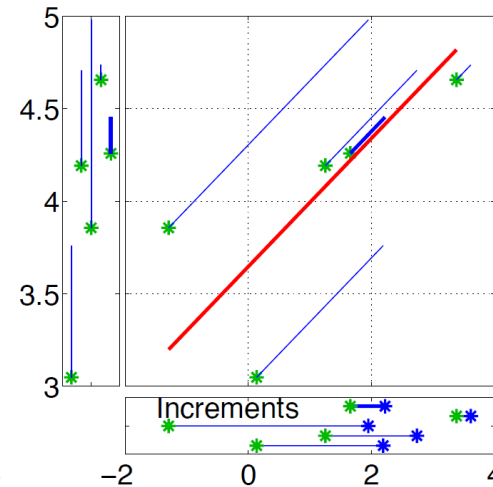
Ensemble of model generated temperatures



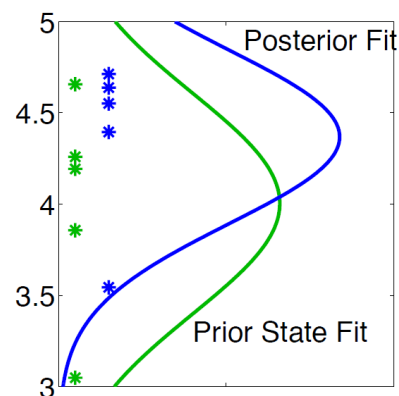
Apply correction to model w/ observed temp



Apply correction to unobserved temp



Generate posterior



- The correlation between states is based upon error covariance matrix generated from a model. Also observation uncertainty must be carefully quantified.

- How can we apply correlations to improve model performance for Land DA?






Limitations in remotely-sensed land observations



Leaf Area, Biomass, SIF

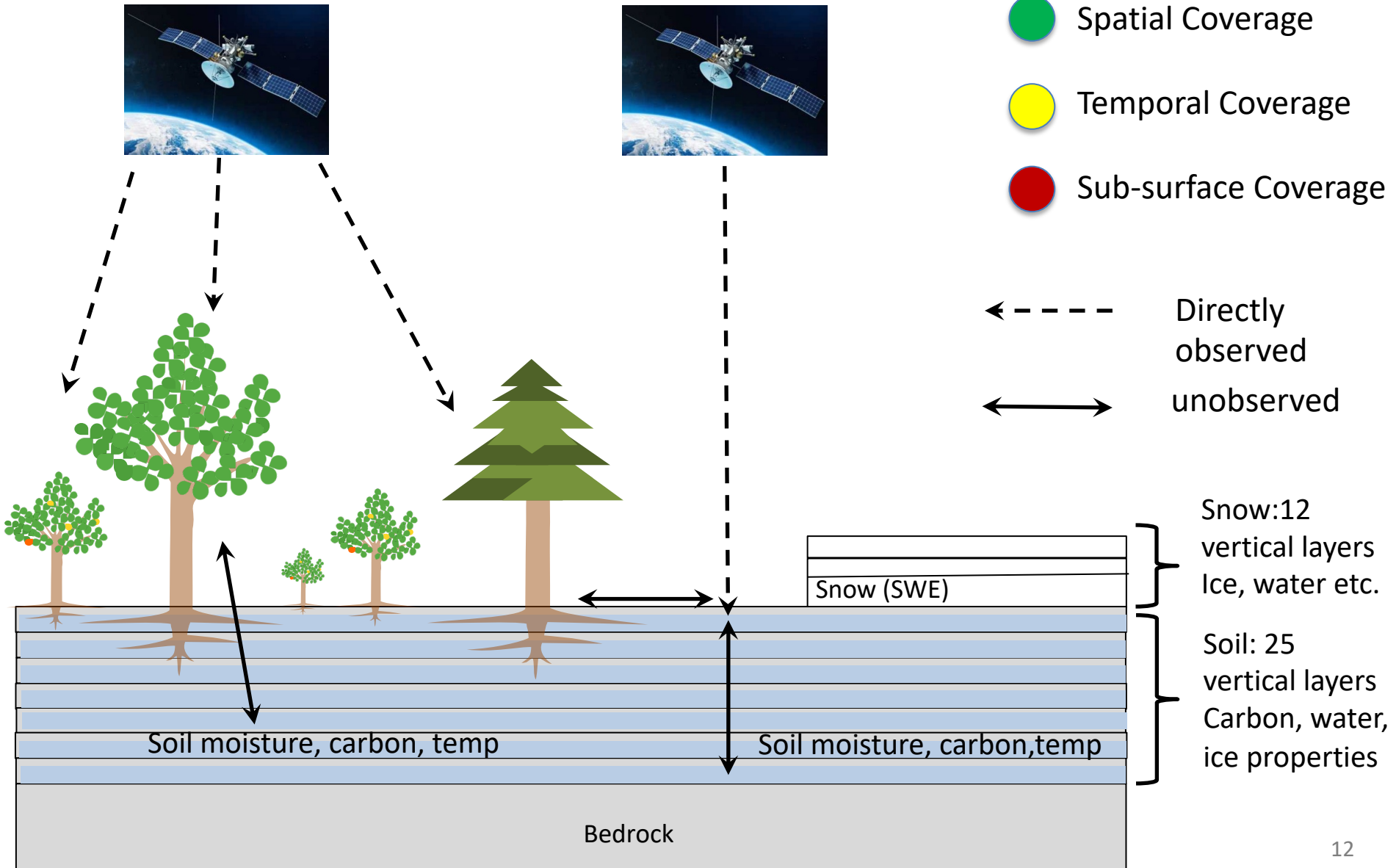


Soil Moisture, LST, Snow






-  Spatial Coverage
-  Temporal Coverage
-  Sub-surface Coverage

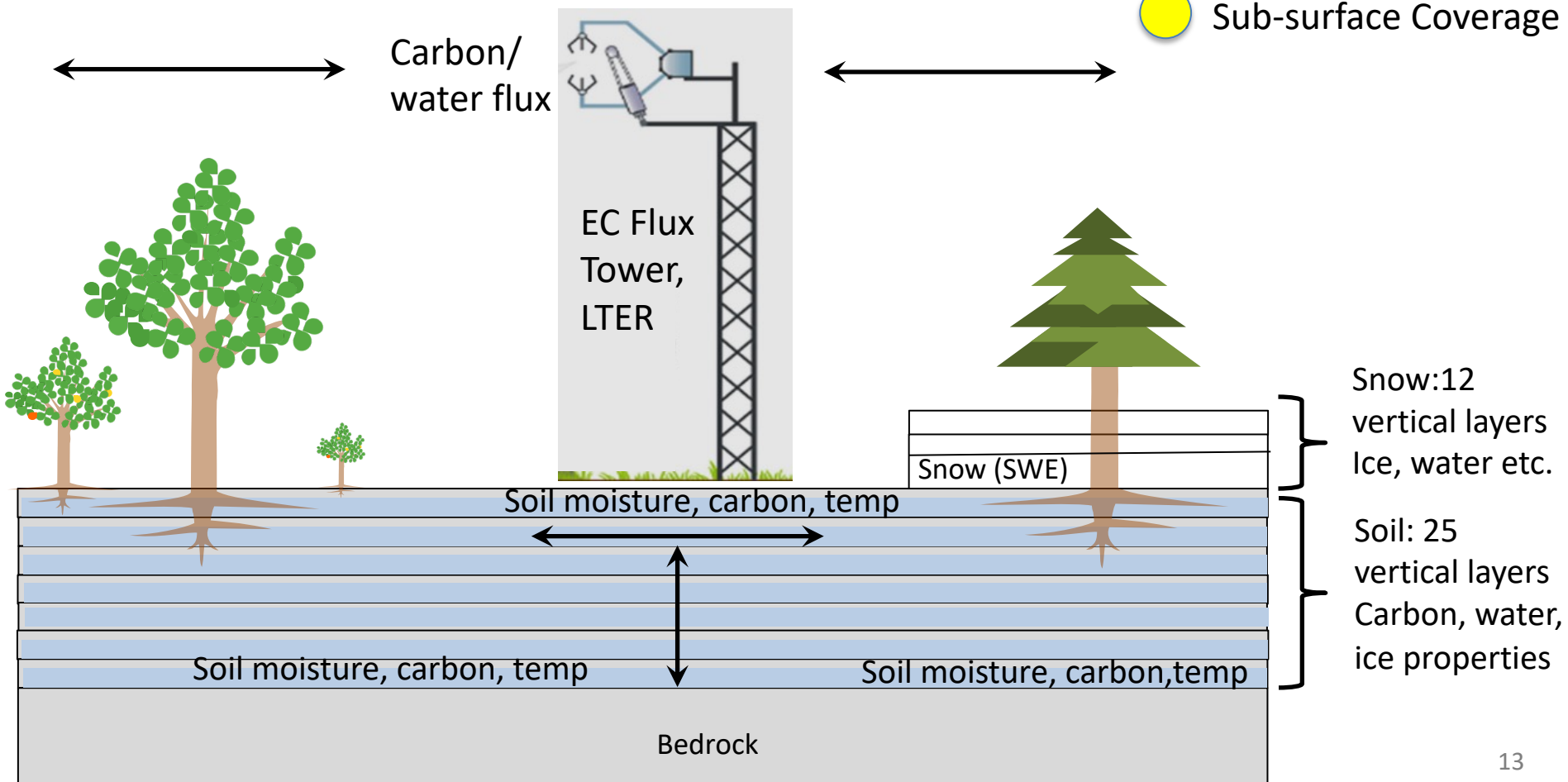
-  Directly observed
-  unobserved



Limitations in ground-based land observations

- Horizontal/Vertical Spatial Correlations Important for limited surface observation network

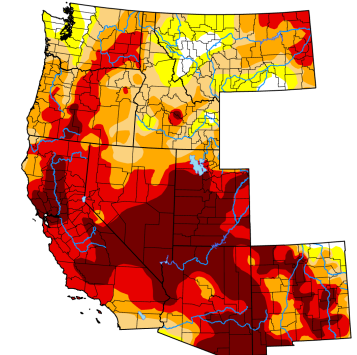
-  Spatial Coverage
-  Temporal Coverage
-  Sub-surface Coverage



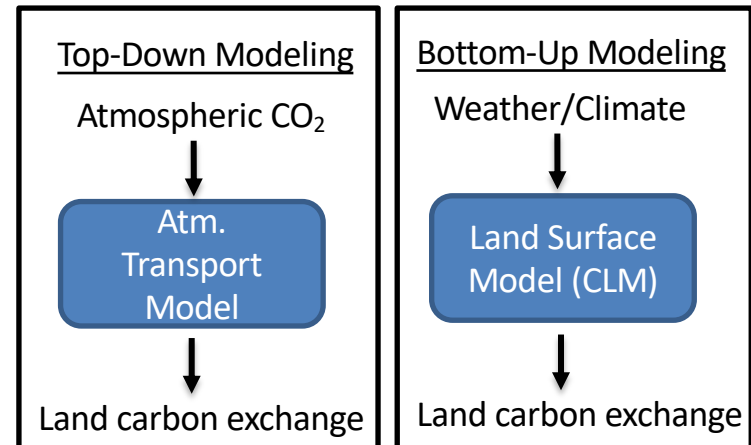
Carbon Monitoring Across Western US



US Drought Monitor,
June 10, 2021

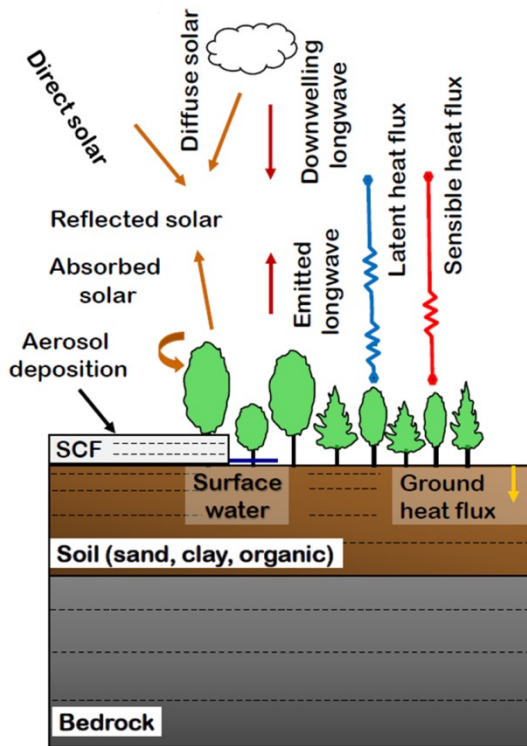


- Vulnerable carbon stocks create drastic change to landscape and ecosystem functioning
- Complex terrain challenges traditional carbon monitoring, flux towers, atmospheric inversions

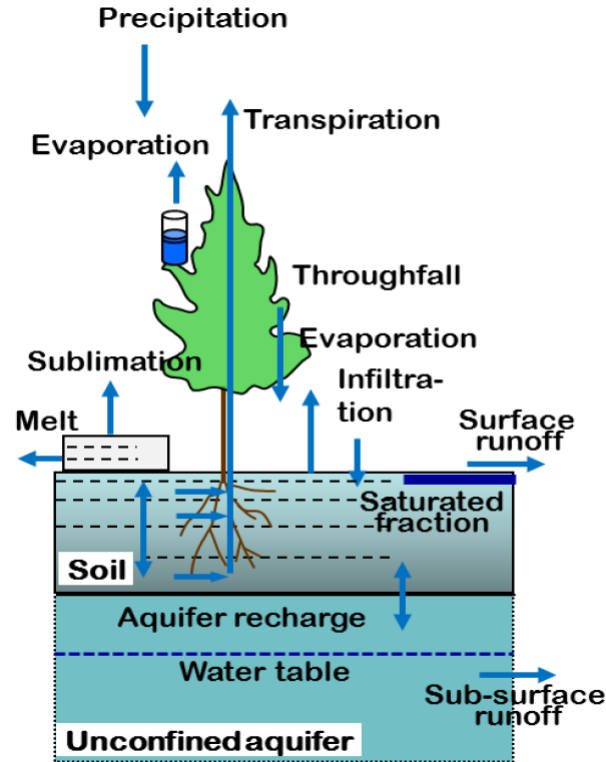


Components of a land surface model (CLM)

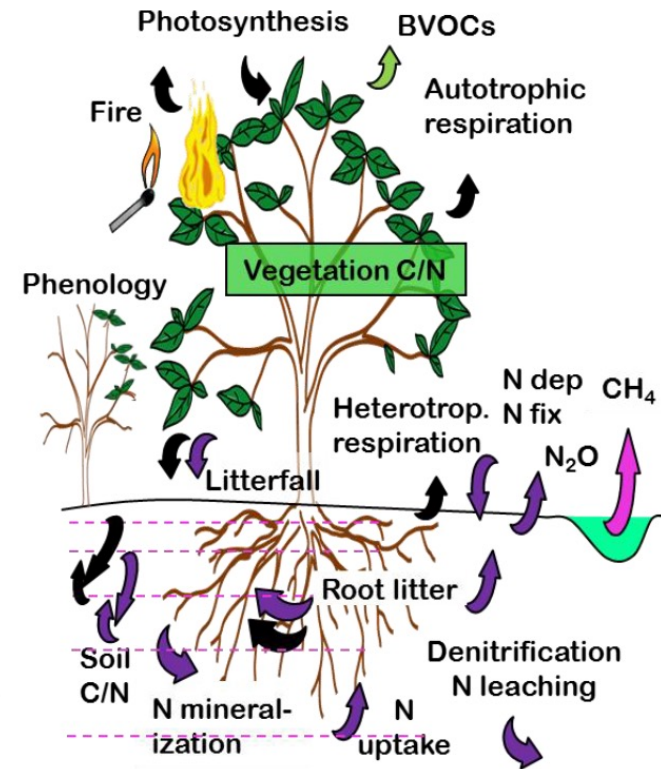
Energy balance



Hydrology

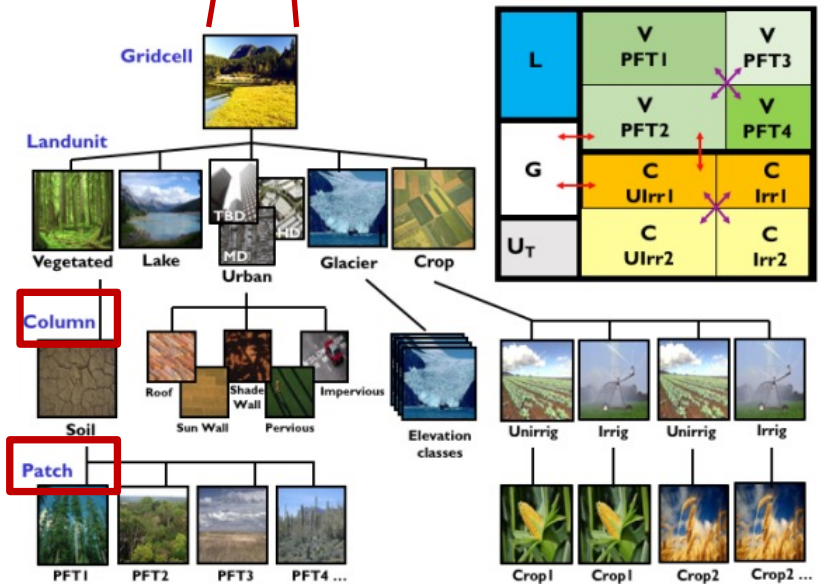
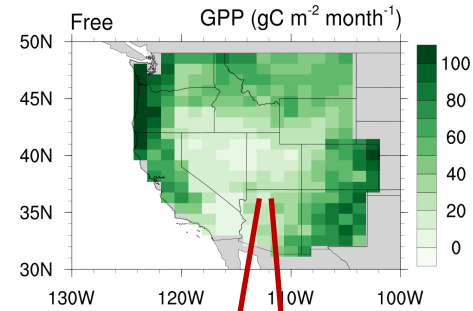
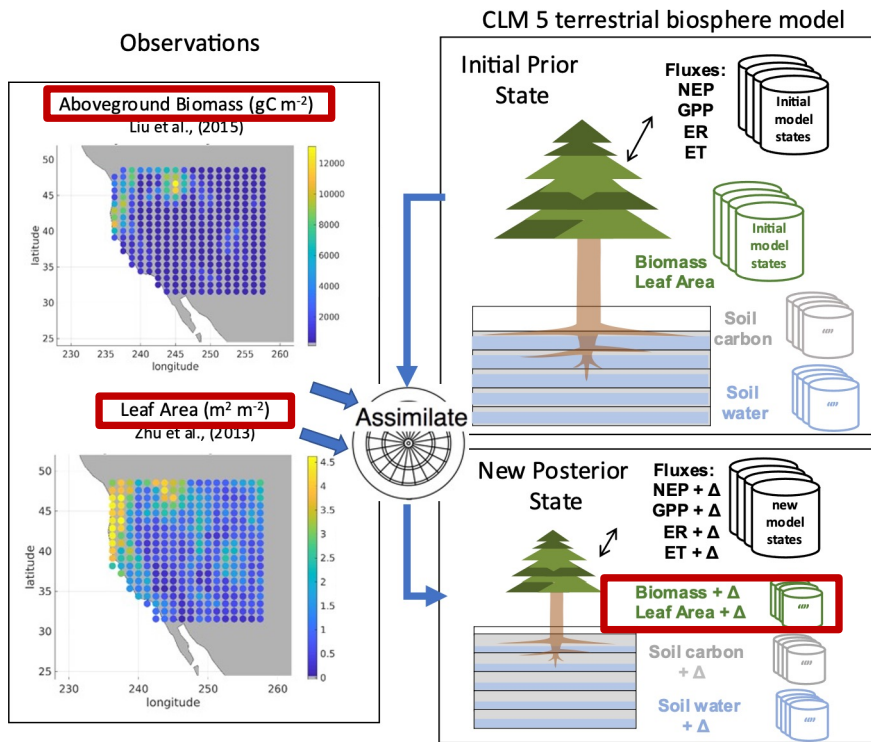
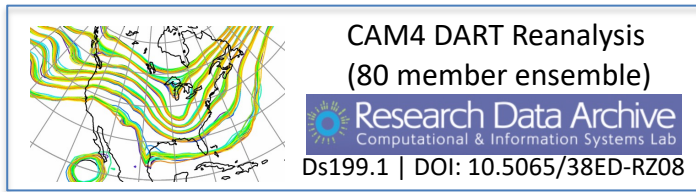


Carbon and nitrogen cycles



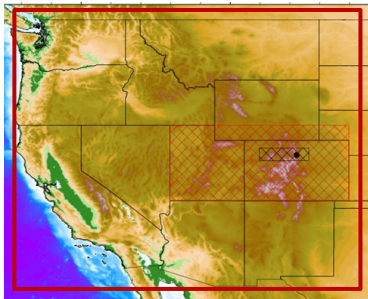
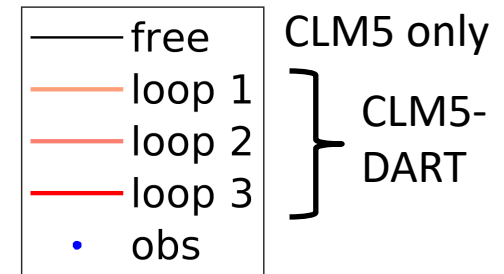
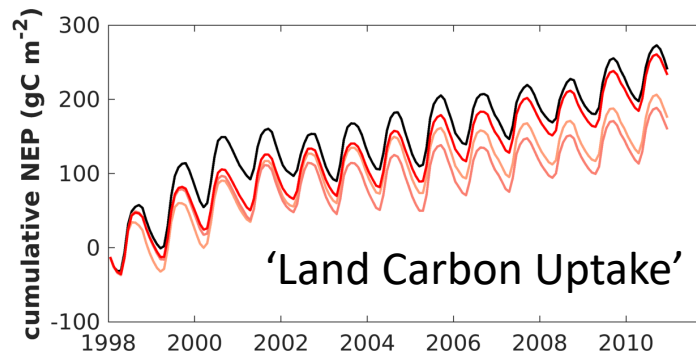
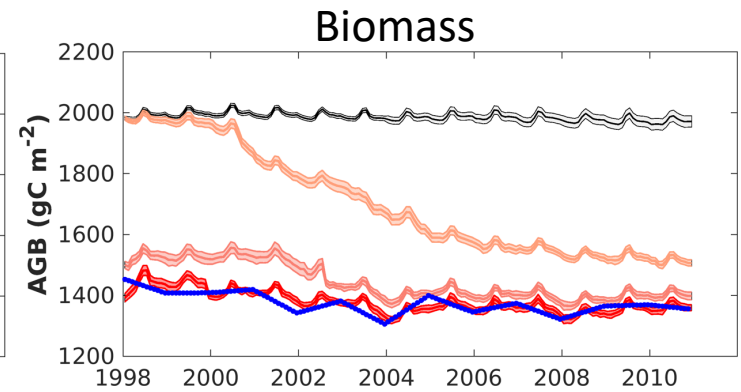
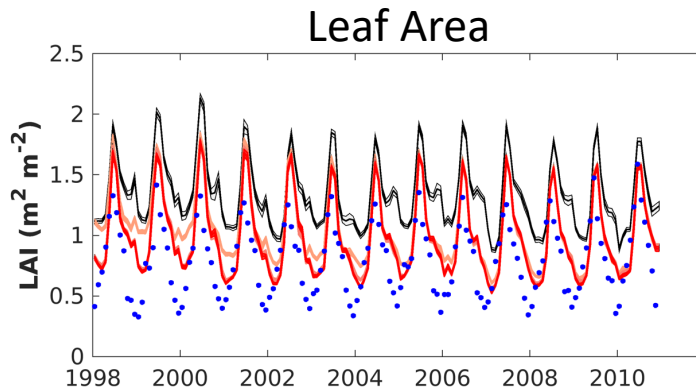
- The carbon cycle is coupled to, and limited by, the nitrogen and water cycles

CLM5-DART Overview



Observations reduce biomass/leaf area, net carbon flux steady

- ~30 % reduction in AGB and LAI respectively

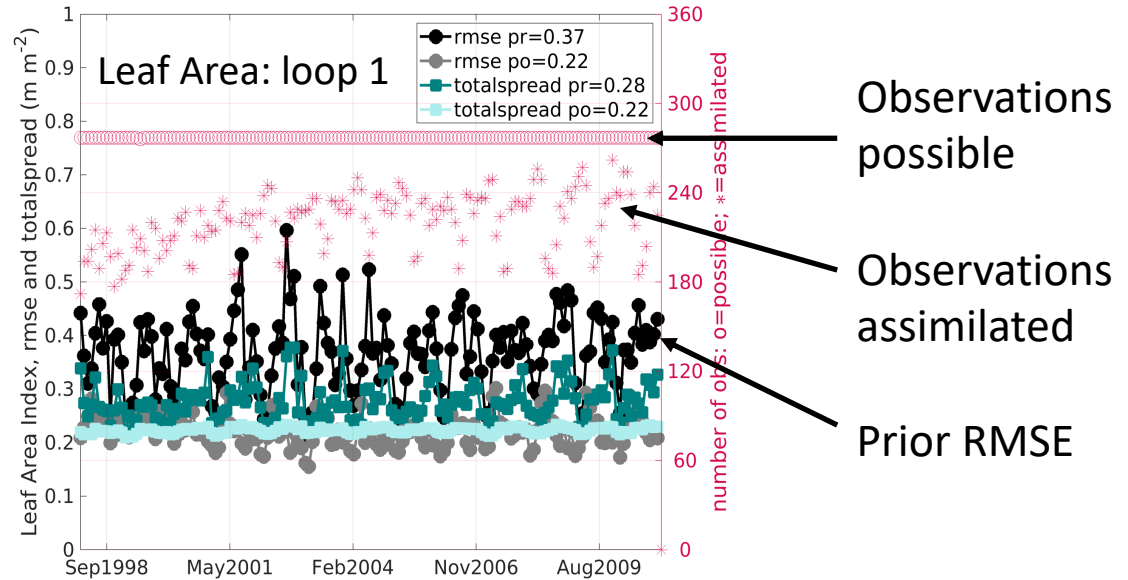


Simulation Name	AGB (kgC m ⁻²)	LAI (m m ⁻²)	GPP (gC m ⁻² month ⁻¹)	ER (gC m ⁻² month ⁻¹)	NEP (gC m ⁻² month ⁻¹)
<i>Free</i>	1.98	1.31	48.18	47.18	1.00
<i>CLM5-DART</i>	1.36	0.96	38.49	37.21	1.28

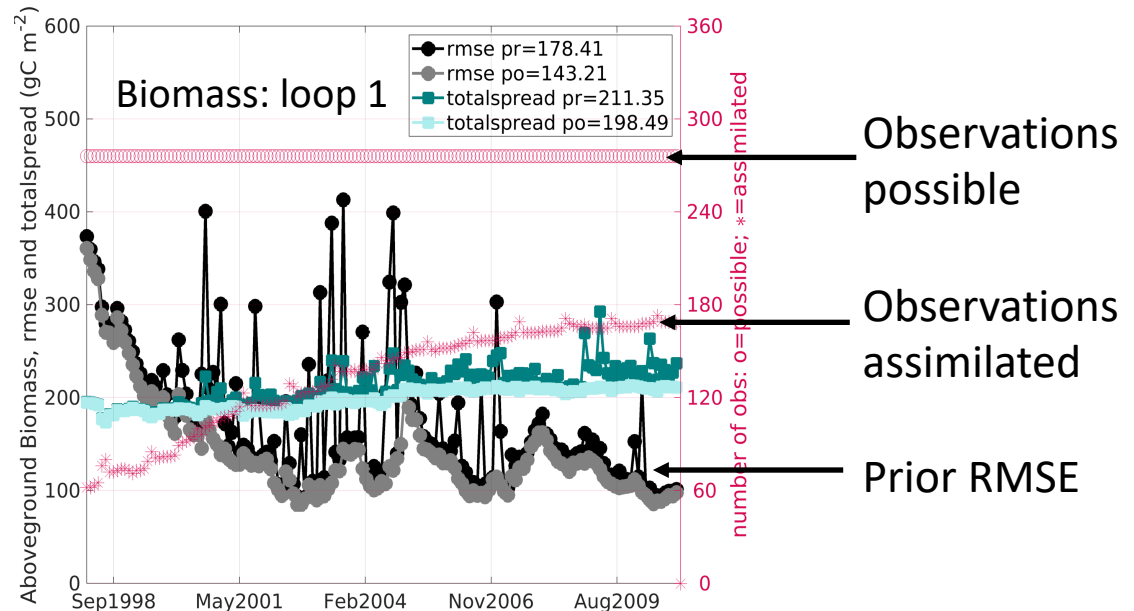


Diagnostics of LAI/AGB observation acceptance and RMSE

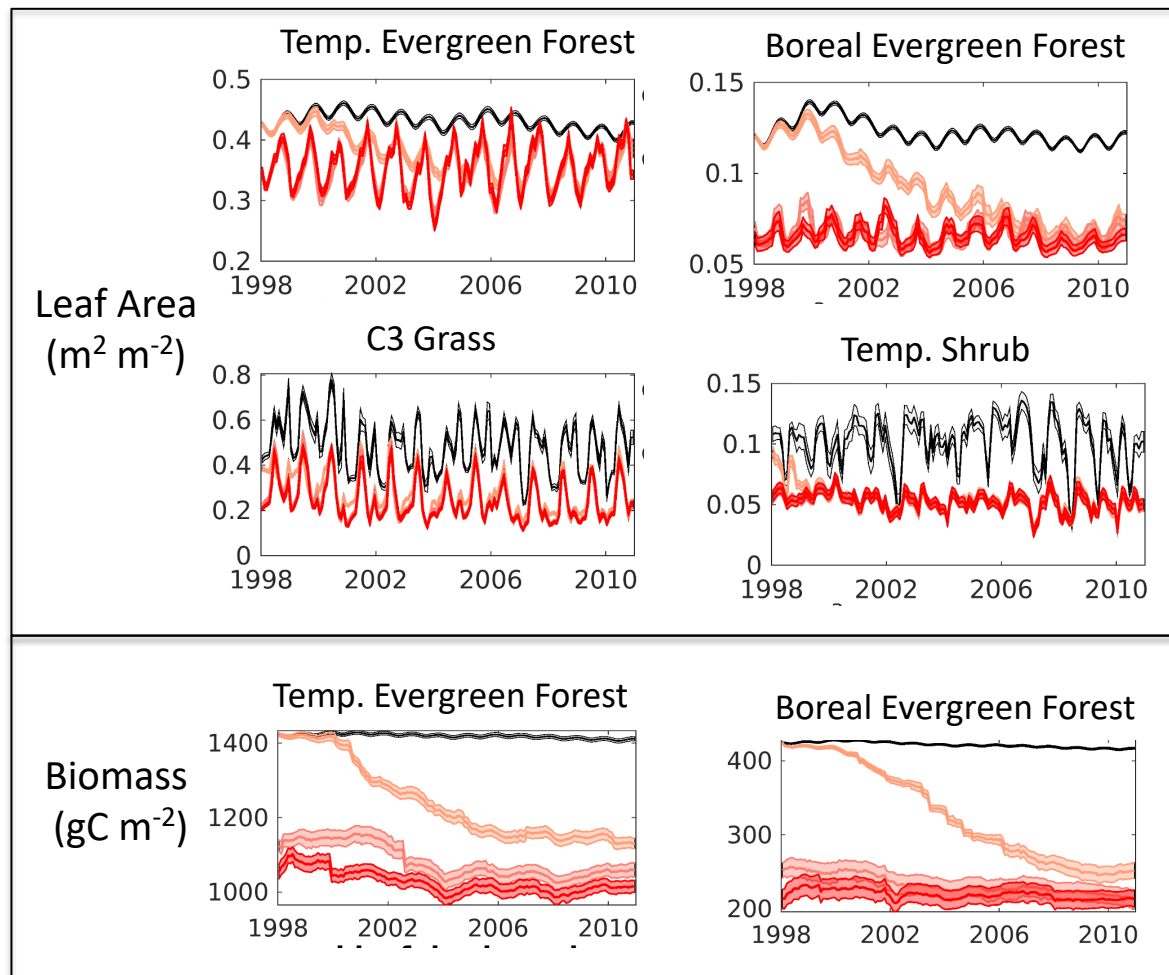
Leaf Area : steady acceptance rate (90%) seasonal dependence, RMSE steady



Biomass : increasing acceptance rate (75%), decreasing RMSE

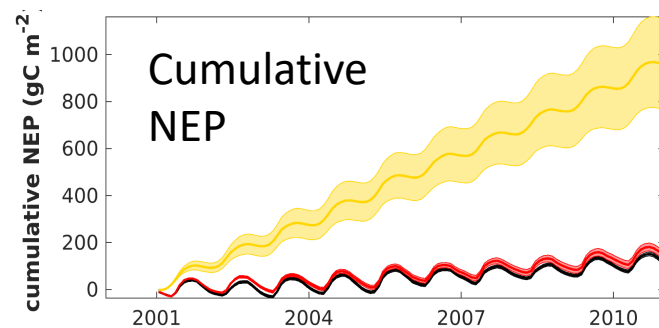
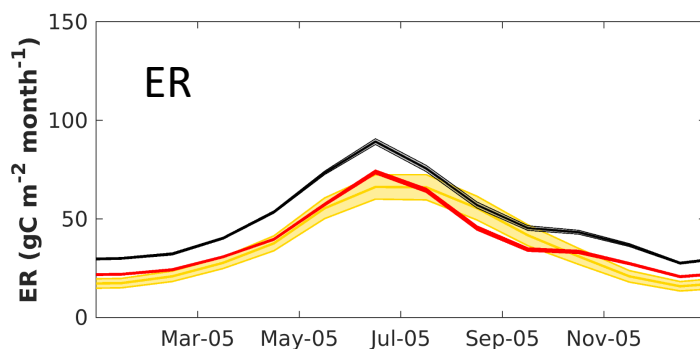
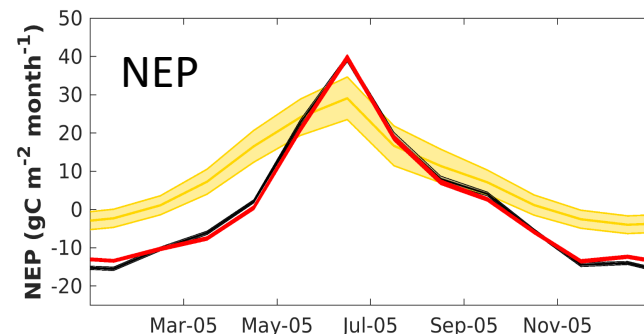
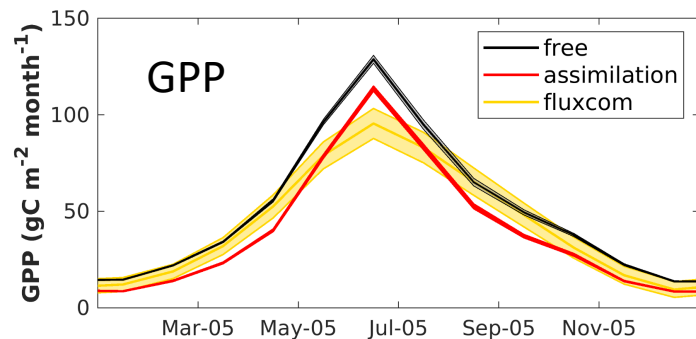


Behavior for dominant PFTs within domain



CLM5-DART simulates weak carbon sink compared to FLUXCOM

- CLM5-DART (red) reduces biomass states create offsetting reductions in GPP and ER compared to free run
- FLUXCOM (yellow): Machine learning approach that uses flux tower data, satellite data and meteorology as explanatory variables for carbon cycling data product Jung et al., (2020).

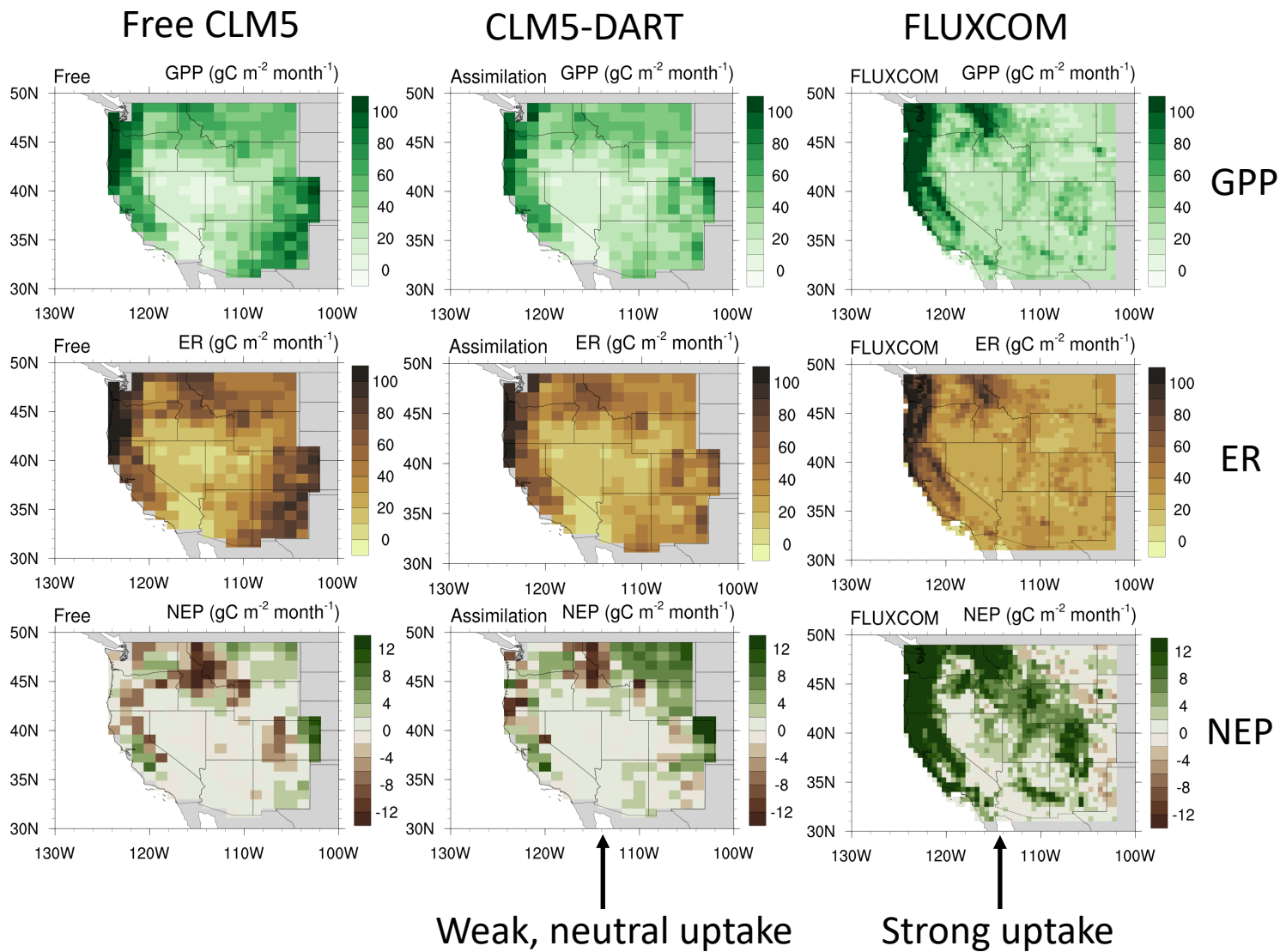


- Difference due to disturbance history?
- Need more adjusted variables in CLM5-DART?



CLM5-DART simulates weak carbon sink compared to FLUXCOM

1998-2011
Average
Fluxes

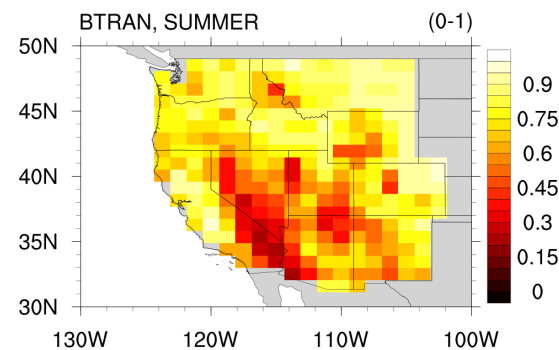
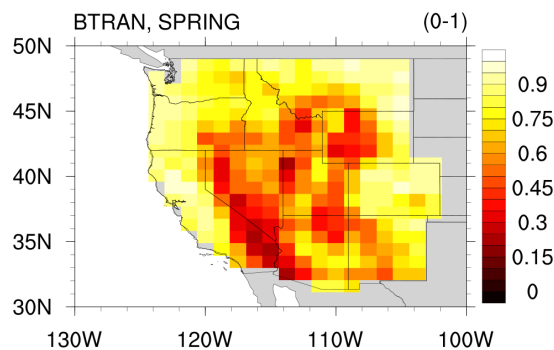


Water limitation shapes carbon uptake pattern

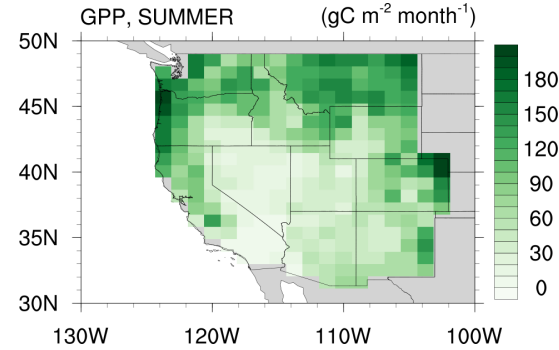
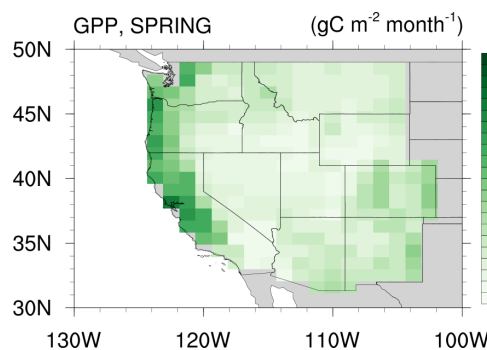
Spring (1998-2011)

Summer (1998-2011)

- Soil moisture limitation and GPP highly correlated (spring: $R=0.64$; summer: $R=0.67$)

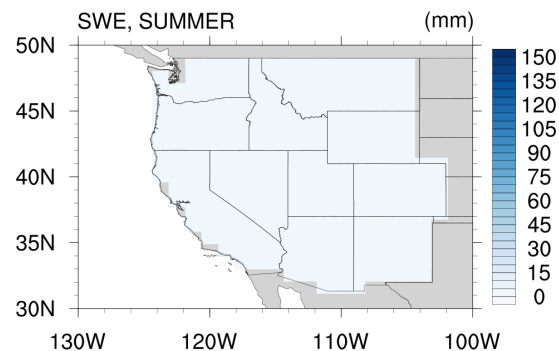
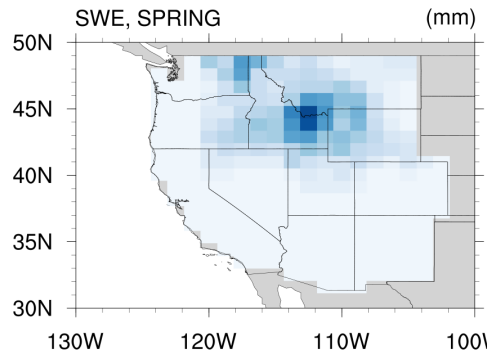


Soil moisture limitation



GPP

- Simulated snow has low bias

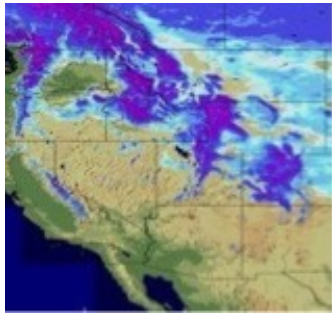


Snow water equivalent

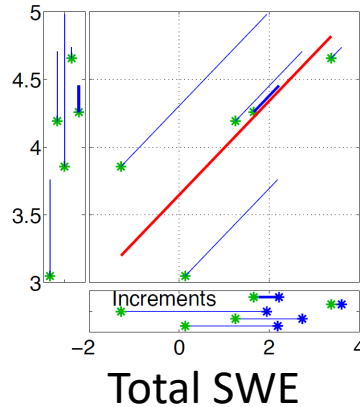


Current challenges in Land DA : Snow

Snow (SWE) Observations



Snow Layer $i = n$

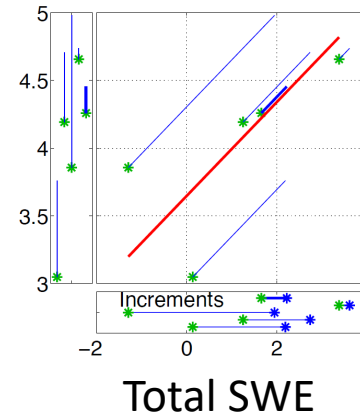


Snow Layer _i + Δ	
" "	i = 2
" "	i = 3
" "	i = n
Ground	

$\Delta \text{ Total SWE} \neq \Sigma(\Delta \text{ Layers})$ ✘

Repartition Algorithm

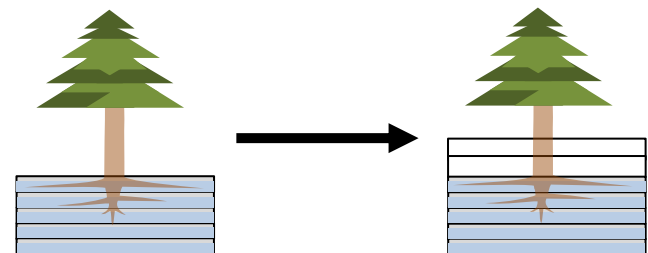
Total SWE



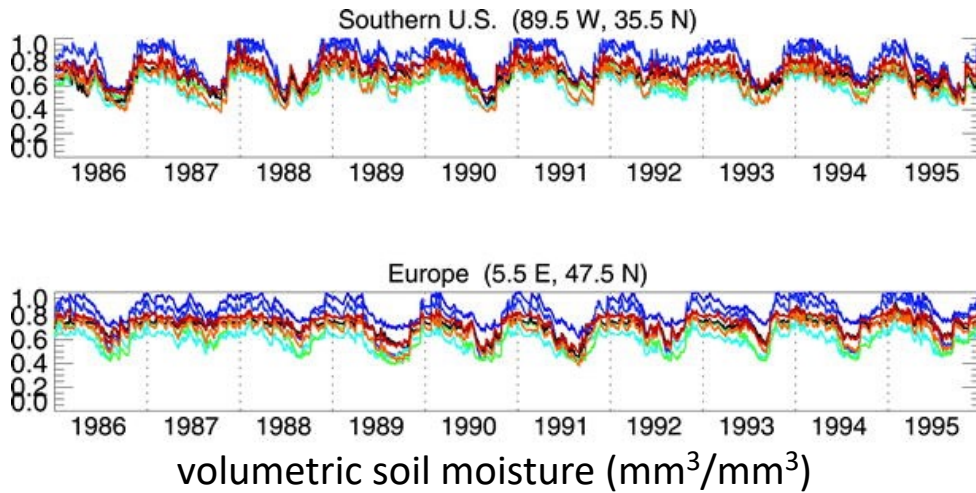
Snow Layer _i + Δ	
" "	i = 2
" "	i = 3
" "	i = n
Ground	

$\Delta \text{ Total SWE} = \Sigma(\Delta \text{ Layers})$ ✔

- Generating Snow ??

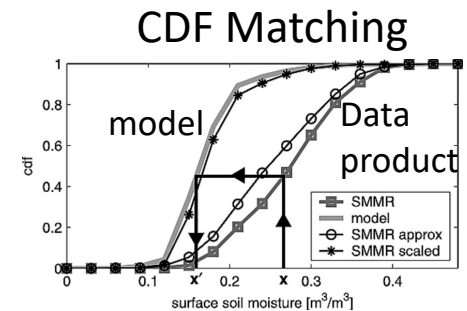
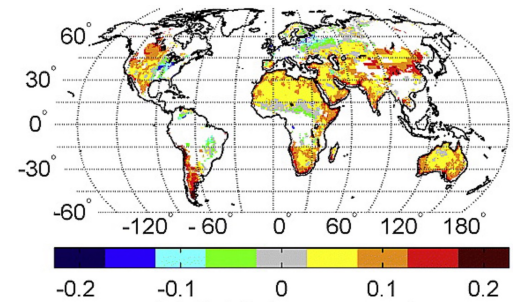


Current challenges in Land DA : Soil Moisture

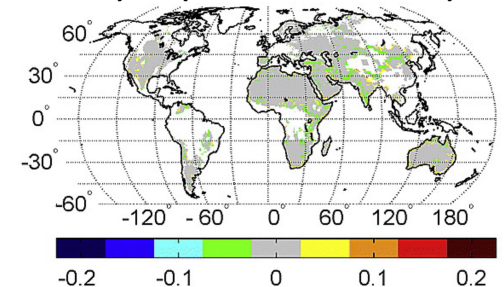


- Soil moisture (SIF, LAI) data are prone to systemic bias in magnitude and variability, but have useful information to assimilate
- CDF matching re-scales data products to match the bias and variability of a model

(Model) – (Data Product), Before



(Model) – (Data Product), After



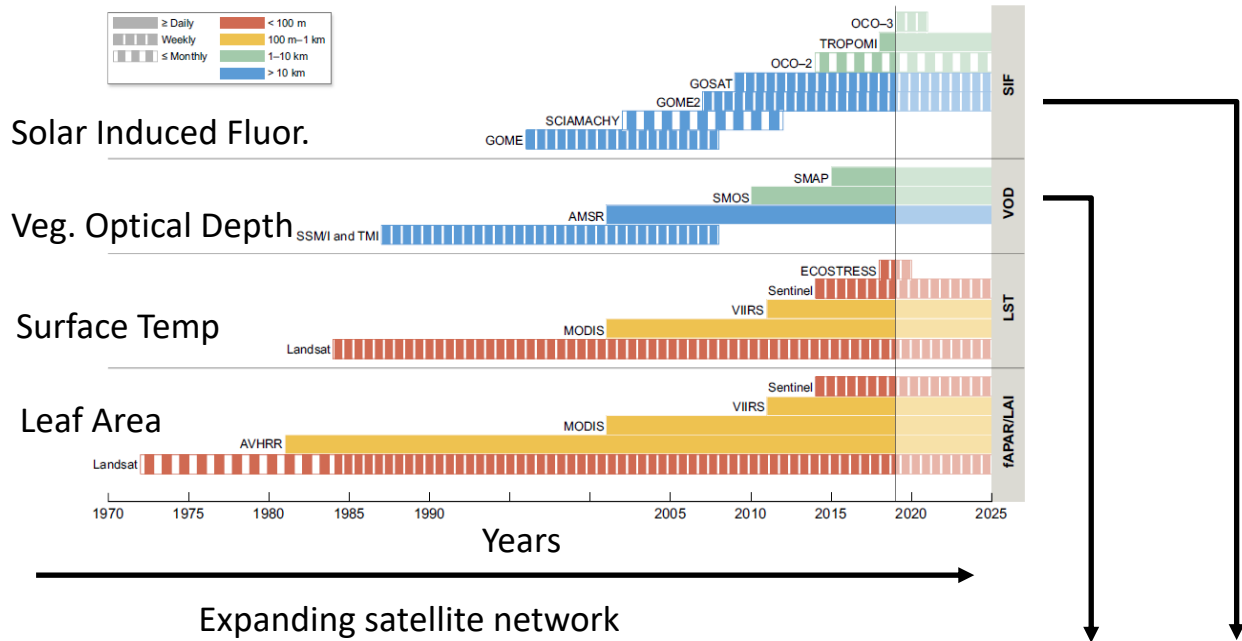
Koster et al., 2009
(J. of Climate)

Reichle & Koster 2004 (GRL)



Advancing models & observations together

Expanding
land surface
properties



Expanding satellite network

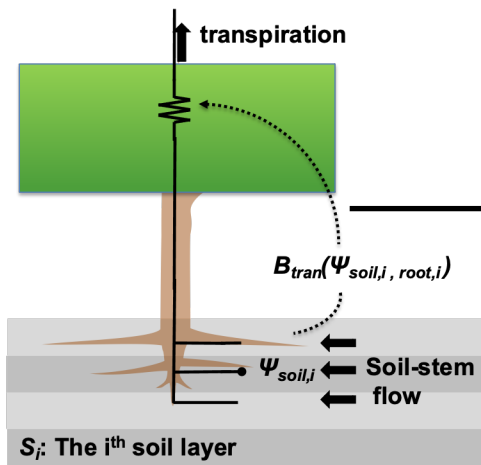


Constraining estimates of terrestrial carbon uptake: new opportunities using long-term satellite observations and data assimilation

William K. Smith¹, Andrew M. Fox¹, Natasha MacBean², David J. P. Moore¹ and Nicholas C. Parazoo³

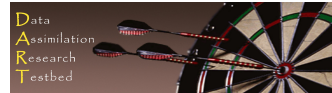
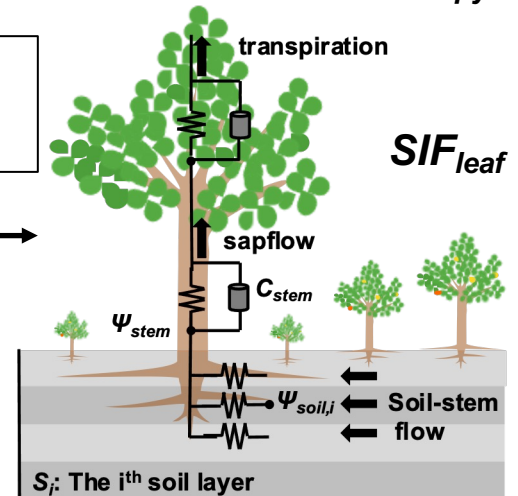
(Leaf water potential) Ψ_{leaf} SIF_{canopy}

CLM 4.5
(Soil Moisture Stress
Formulation)



Current: CLM 5.0
Added Hydraulic
Stress & SIF

Increasing model
complexity



For more information:



<https://dart.ucar.edu>

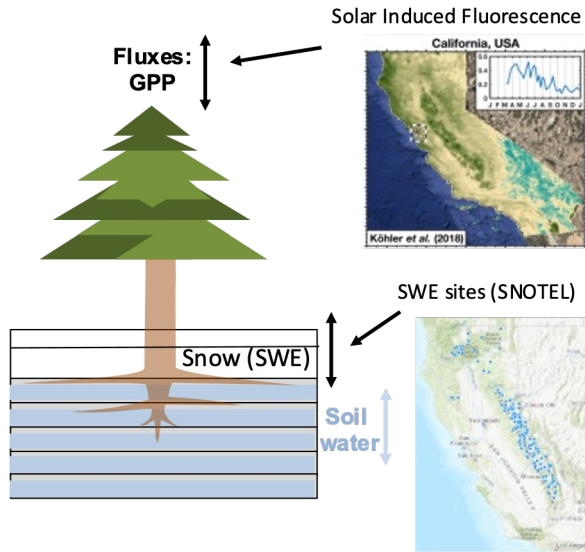
<https://docs.dart.ucar.edu>

dart@ucar.edu

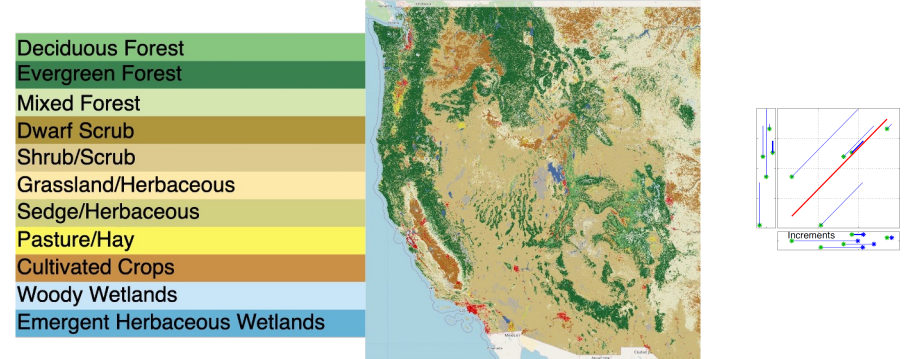
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Future Directions

Additional data streams help constrain carbon cycling



Using high res land cover maps for improved forward operators (PFT specific).

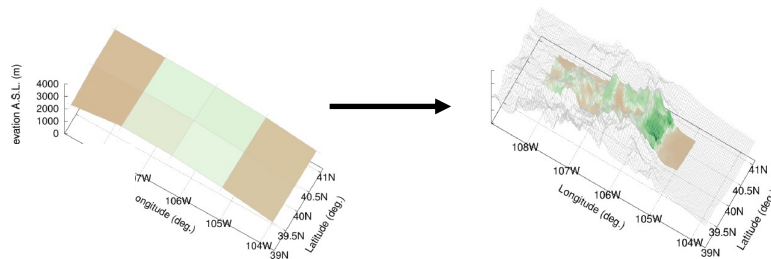


Finer Spatial Resolution?

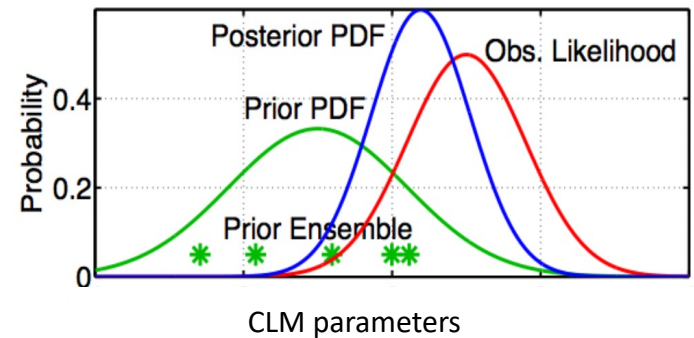
Atmosphere:

CAM4 Reanalysis (~2°)
Ds199.1 | DOI: 10.5065/38ED-RZ08

CAM6 Reanalysis (~1°)
Ds345.0 | DOI: 10.5065/JG1E-8525



Parameter Estimation



CLM5-DART Methods/Terminology

- Remotely Sensed 'Observations': (1.25°x0.95°)
Averaged to match model spatial resolution (reduces representation error)
- Observation Rejection Threshold (3 sigma): Reduces impact of systematic errors
- Adaptive 'Inflation' : Improve sampling of model error
- Spatial Localization:
Horizontal range: ~100 km
- State Space Localization:
Select most important variables
for carbon cycling

'Standard' Adjusted State Variables (Biomass C, N)

Leaf carbon	Leaf nitrogen
Live stem carbon	Fine root nitrogen
Dead stem carbon	Live coarse root nitrogen
Leaf area index	Dead coarse root nitrogen
Fine root carbon	Live stem nitrogen
Live coarse root carbon	Dead stem nitrogen
Dead coarse root carbon	



Extra Slides/Ideas

“Meeting in the middle manuscript”

Alexei Shiklamanov

Parameter estimation

Look for Tim Hoar poster for other land data assimilation work.

Add now slide from Zhang to introduce concept.

Andy Fox Slide.

Slide components of an assimilation, where spread?

Sensitivity to met forcing, an model types, H. Duarte.

Slide 1 & 2 : (Advances in modeling and data assimilation) : Including explicit representation of slope/aspect on surface energy balance. Meeting in the middle manuscript.

Slide 3: Systemic biases between model and observations (leaf area rejection)

Inflation

Pre-processing of observations, CDF Meteorological Forcing → Err on side

of over-productivity (snow)

